OIPE 12006 ...

EC 9 7 2006	U.S. I	Patent and Tr	rademark Office: L	PTO/SB/21 (09-06) through 03/31/2007. OMB 0651-0031 J.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995	5. no persons are required to respond to a co Application Number	10/556,937		displays a valid OMB control number.
TRANSMITTAL	Filing Date	10/31/2006)	
FORM	First Named Inventor	Michael R.	R. COSTA	
, <u>-</u>	Art Unit	1652	-	
(to be used for all correspondence after initial	Examiner Name	To be assig	gned	
Total Number of Pages in This Submission	-5- Attorney Docket Number	EX04-0440	44C-US	
ENCLOSURES (Check all that apply)				
Fee Transmittal Form Fee Attached Licensing-related Papers Petition Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Actor Terminal Disclaimer Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application		Address Other Enclosure(s) (please Identify below): 1) Request for Corrected Filing Receipt (1 page) with copy of marked-up filing receipt (3 pages). 2) Postcard for Acknowledgment of Receipt.		
Reply to Missing Parts under 37 CFR 1.52 or 1.53	ATURE OF APPLICANT, ATTO	RNEY, O	R AGENT	
Firm Name EXELXS, Inc.				
Signature (170) S. MINAUR				
Printed name Peter K. Seperack				
Date December 22, 2006		Reg. No.	47,932	
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:				
Signature Saura a Huntimaton				
Typed or printed name Laura A. Hunting	· /		Date	December 22, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Michael R. COSTA et al.

U.S. Serial No.: 10/556,937

371(c) Date: 10/31/2006

Confirmation No.: 6980

For:

MARKS AS MODIFIERS OF THE PTEN

PATHWAY AND METHODS OF USE

International Appl..No.: PCT/US2004/19531

International Filing Date: June 18, 2004

Group Art Unit: 1652

Examiner: To be assigned

REQUEST FOR A CORRECTED FILING RECEIPT

Mail Stop MISSING PARTS Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully request a corrected filing receipt for the above-identified patent application. A copy of the original Filing Receipt mailed on December 12, 2006, with the correction marked in red ink is enclosed for the office's reference and convenience.

The modification consists of correcting the state of residence for inventor Kim Lickteig from "CA" to "IL". As the Applicant Data Sheet notes, Ms. Lickteig is a resident of Highland Park, Illinois.

It is believed that a fee is not due in connection with this paper or with the change requested. However, if a fee is due, please charge Deposit Account No. 50-1108, referencing EX04-044C-US.

Respectfully submitted,

Date:

December 22, 2006

By:

eter K. Seperack, Reg. No. 47,930

EXELIXIS, INC.

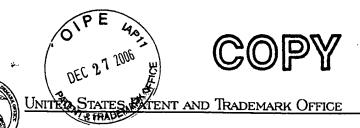
210 East Grand Avenue, P.O. Box 511 South San Francisco, California 94083-0511

Telephone: (650) 837-7284; Facsimile: (650) 837-8234

CERTIFICIATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being depositing with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, Post Office Box 1450, Alexandria, VA 22313-1450, on <u>December 22, 2006</u>.

Laura A. Huntington



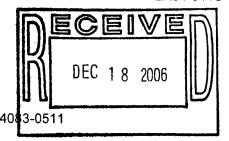
IFW

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginis 22313-1450 www.uupto.gov

 APPL NO.
 FILING OR 371 (c) DATE
 ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET NO
 DRAWINGS
 TOT CLMS
 IND CLMS

 10/556,937
 10/31/2006
 1652
 1180
 EX04-044C-US
 25
 4

23500
PATENT DEPT
EXELIXIS, INC.
170 HARBOR WAY
P.O. BOX 511
SOUTH SAN FRANCISCO, CA 94083-0511



CONFIRMATION NO. 6980

FILING RECEIPT

OC000000021529522

Date Mailed: 12/12/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael R. Costa, San Francisco, CA; Garth Joseph McGrath, San Rafael, CA; Kim Lickteig, Highland Park, CA; IL Timothy S. Heuer, El Granada, CA;

Assignment For Published Patent Application

Exelixis, Inc., South San Francisco, CA

Power of Attorney: The patent practitioners associated with Customer Number 23500.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/19533 06/18/2004 which claims benefit of 60/479,768 06/19/2003

Foreign Applications

If Required, Foreign Filing License Granted: 12/07/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/556,937

Projected Publication Date: 03/15/2007

Non-Publication Request: No

Early Publication Request: No

Title

Marks as modifers of the pten pathway and methods of use

Preliminary Class

435

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED.

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).